Compliance Management – Product Sheet

Compliance Needs

- Identify regulatory and corporate compliance requirements

Program Development

- Develop a mechanism to gather data and identify possible violations
- Develop a mechanism to communicate possible violations to employees and leaders via email
- Develop a mechanism to track the sending of violation warnings and escalations, and documenting responses
- Develop mechanism to track spend in keeping with regulation

Program Implementation

- On a monthly basis gather and evaluate data from card, travel, hotel & financial data, to identify violations
- On a monthly basis send violation warnings to employees and leaders
- Track employee responses in centralized database
- Develop reporting package to report on spend in keeping with regulation